Release Statement

Bayesian gridded population estimates for Ghana 2019 (GHA v2.0)

2 March 2021

These data include gridded estimates of population sizes at approximately 100 m resolution with national coverage across Ghana. This includes estimates of total population sizes, population counts in 40 different age-sex groups, people per household, people per building, households per building, and statistical measures of uncertainty. These results were produced using publicly available census microdata (IPUMS) from the 2010 Ghana census and building footprints from Maxar/Ecopia that were derived from recent satellite imagery.

This data release was produced by the WorldPop Research Group at the University of Southampton with funding from the Bill and Melinda Gates Foundation to provide critical spatial data and population estimates to support polio surveillance and eradication (INV-002697). Statistical modelling was led by Doug Leasure with oversight from Andy Tatem and coordination with the Gates Foundation Polio Team from Vince Seaman. Edith Darin led assessments of IPUMS time series data. Chris Jochem and Attila Lazar provided internal peer reviews that helped to improve the results and documentation. We acknowledge the whole WorldPop Research Group for overall project support. The authors acknowledge the use of the IRIDIS High Performance Computing Facility, and associated support services at the University of Southampton, in the completion of this work. Analyses undertaken were approved by the University of Southampton Faculty Ethics Committee (ERGO II 61033).

The authors followed rigorous procedures designed to ensure that the used data, the applied method and thus the results are appropriate and of reasonable quality. If users encounter apparent errors or misstatements, they should contact WorldPop at release@worldpop.org.

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RELEASE CONTENT

- 1. GHA_population_v2_0_gridded.zip
- 2. GHA_population_v2_0_agesex.zip
- 3. GHA_population_v2_0_mastergrid.tif
- 4. GHA_population_v2_0_sql.sql
- 5. GHA_population_v2_0_tiles.zip
- 6. GHA_population_v2_0_supplement.zip

LICENSE

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SUGGESTED CITATION

Leasure DR, Darin E, Tatem AJ. 2021. Bayesian gridded population estimates for Ghana 2019 (GHA v2.0). WorldPop, University of Southampton. doi:10.5258/SOTON/WP00705.

RELEASE HISTORY

Version 2.0 (this release)

- We corrected an error in our coding of urban vs rural households from the IPUMS data from version 1.0 that affected its estimates of age-sex structure and people per household. Version 1.0 is now a deprecated version that should be replaced by version 2.0.
- Population estimates were updated using new building footprints created from satellite imagery mostly from 2019 and 2020. This corrected some artifacts that were apparent in version 1.0 (see Appendix of GHA population v1 0 README.pdf).
- We filled a gap in v1.0 population estimates in Accra that resulted from a gap in the map of enumeration area settlement types (urban/rural; Ghana Statistical Services 2010). We defined the gap as urban based on visual similarity with neighboring urban enumeration areas.
- We added a model-based constraint that there was a 95% probability that the true national population total was within 15% of the national population estimates from the United Nations (2019).
- We revised the definition of urban/rural pixels to account for urban expansion since the 2010 census. We used the map of urban and rural census enumeration areas (GSS 2010) as the baseline and then reclassified any rural pixels as urban if they were classified as urban by Dooley et al (see file GHA buildings v2 0 urban.tif from Dooley et al. 2021).

Version 1.0 (16 November 2020) [doi:10.5258/SOTON/WP00686]

• Methods report, model code, and input data were added.

Version 1.0 (28 August 2020) [doi:10.5258/SOTON/WP00680]

• Original release of this population dataset.

FILE DESCRIPTIONS

All spatial data files are in geographic coordinate system WGS84 (World Geodetic System 1984: EPSG 4326).

1. GHA_population_v2_0_README.pdf

This documentation provides an overview of the dataset including known limitations, methods overview, source data, and license/citation information.

2. GHA_population_v2_0_gridded.zip

This zip file contains six files:

GHA_population_v2_0_gridded_population.tif

This geotiff raster contains estimates of total population size for each approximately 100m grid cell (0.0008333 decimal degrees grid or 3 arc seconds) across the study area. The values are the mean of the Bayesian posterior probability distribution for the predicted population size in each grid cell. NA values represent grid cells where no building footprints were present. These population estimates include decimals (e.g. 10.3 people). This provides more accurate population totals when grid cells are summed. A population estimate of 0.5 people in each of two neighboring grid cells would indicate an expectation that one person lives somewhere within those two grid cells.

GHA_population_v2_0_gridded_uncertainty.tif

This geotiff raster contains estimates of uncertainty in the population estimates for each approximately 100m grid cell across the study area. The uncertainty values are the difference between the upper and lower 95% credible intervals of the posterior prediction divided by the mean of the posterior prediction: (upper – lower)/mean. These numbers provide a comparable measure of relative uncertainty in population estimates across the country.

Note: We did not include a raster of upper and lower credible intervals for population estimates because they cannot be summed across grid cells to produce accurate credible intervals for population estimates within a multi-cell area, and most end-users need this information for multi-cell areas. Credible intervals for multiple cells can be calculated using the woprVision web application (https://apps.worldpop.org/woprVision), the wopr R package (Leasure et al 2020a), or manually calculated from the cells' posterior predictions in GHA_population_v2_0_sql.sql (described below).

GHA_population_v2_0_gridded_hh.tif

This geotiff raster contains estimates of the number of households in each approximately 100m grid cell across the study area.

GHA_population_v2_0_gridded_pph.tif

This geotiff raster contains estimates of the average number of people per household in each approximately 100m grid cell across the study area.

GHA_population_v2_0_gridded_hpb.tif

This geotiff raster contains estimates of the average number of households per building footprint in each approximately 100m grid cell across the study area.

GHA_population_v2_0_gridded_ppb.tif

This geotiff raster contains estimates of the average number of people per building footprint in each approximately 100m grid cell across the study area.

3. GHA_population_v2_0_agesex.zip

This zip file contains 40 geotiff rasters that contain counts of people for each age-sex group in each approximately 100m grid cell across the study area.

We provide 36 rasters for the commonly reported age classes for males and females separately. These files are labelled with either an "m" (male) or an "f" (female) followed by the first year of the age class represented by the data. "f0" and "m0" are population counts of under 1-year olds for females and males, respectively. "f1" and "m1" are population counts of 1 to 4 year olds for females and males, respectively. Over 4 years old, the age groups are in five-year bins labelled with a "5", "10", etc. Eighty year olds and over are represented in the groups "f80" and "m80".

We provide four additional rasters that represent demographic groups often needed by programmes and interventions. These are "under1" (all females and males under the age of 1), "under5" (all females and males under the age of 5), "under15" (all females and males under the age of 15) and "f15_49" (all females between the ages of 15 and 49, inclusive).

In addition to the gridded population estimates for specific age-sex groups, we provide a spreadsheet of age-sex proportions (GHA_population_v2_0_agesex_table.csv) estimated from IPUMS data for different regions. The regions are defined in the accompanying raster (GHA_population_v2_0_agesex_regions.tif).

4. GHA_population_v2_0_mastergrid.tif

This geotiff raster defines the study area and the resolution/alignment of the gridded outputs (~100 m grid cells; 0.0008333 decimal degrees). Grid cells outside the study area contain values of NA. Grid cells inside the study area with at least one building contain values of 1 (building counts from Dooley et al 2021). Grid cells inside the study area with no buildings contain values of 0.

5. GHA_population_v2_0_sql.sql

This SQLite database contains samples (n=10,000) from the Bayesian posterior predictions of population size in each grid cell. This can be used to derive the posterior distribution for population totals for areas that contain more than one grid cell. This database is source data for the woprVision web application (https://apps.worldpop.org/woprVision) and it can be queried using the wopr R package (Leasure et al 2020a).

The SQL database contains a single table (Nhat) that includes the population predictions. This table contains the following columns:

- "cell" contains a cell ID to identify the location. Cell IDs correspond to those the cell IDs of GHA_population_v2_0_mastergrid.tif.
- "x" and "y" columns contain WGS84 coordinates for the centroid of the grid cell.
- "Pop" column contains a comma-separated string of population estimates which are the MCMC samples from the predicted posterior distribution for the population estimate in that grid cell.
- "agesexid" column contains the region ID for the age-sex proportions that are provided in GHA_population_v2_0_agesex_table.csv and GHA_population_v2_0_agesex_regions.tif.
- "area" contains the total settled area in hectares. This corresponds to the total building area from Dooley et al (2021) in their raster GHA_buildings_v2_0_total_area.tif.

6. GHA_population_v2_0_tiles.zip

This tiled web map allows for rapid display of the approximatively 100 m gridded population estimates across the study area (i.e. GHA_population_v2_0_gridded.tif). These can be used to develop web applications for the model results. The tiles were created in XYZ format (i.e. compatible with Leaflet) with full coverage of the study area for the zoom levels 1 to 14. These tiles are source data for the woprVision web application (https://apps.worldpop.org/woprVision).

7. GHA_population_v2_0_supplement.zip

This zip file contains the model code in the <u>Stan probabilistic programming language</u> and the formatted source data for the model. IPUMS data have been replaced with NAs due to license restrictions, but the original source data are <u>available from IPUMS</u> upon request for research activities. We have included the model data as an rds file that contains an R list object. It can be read into the R programming environment using the *readRDS* function. These data are in the format required by the RStan package (Stan Development Team 2020).

ASSUMPTIONS AND LIMITATIONS

The files included in this data release have undergone an internal WorldPop peer review in which the data were assessed by two researchers not involved in the work. The internal review was intended to ensure that methods were appropriate, documentation was adequate, the results were fit for purpose, and to identify assumptions and limitations that end-users need to be aware of. Specific locations where potential issues were identified are included in Appendix A of this release statement.

The boundaries of the study area were defined by the areas covered by all of the following datasets: building rasters (Dooley et al 2021), IPUMS geographic units (Minnesota Population Center 2020), and administrative units with census projections (WorldPop & CIESIN 2018a). Population estimates are missing from some areas along the national border and near waterbodies that were not covered by all of these datasets.

We labeled the population estimates as 2019 because this was the most common satellite image acquisition year (Fig. 1) for imagery used by Maxar Technologies and Ecopia.AI (2020) to derive the building footprints. The year of satellite images varied across the country from 2009 to 2020, depending on the exact location. Refer to the raster GHA_buildings_v2_0_imagery_year.tif (Dooley et al 2021) for a map of the imagery years for building footprints.

We used data from the 2010 Ghana census to estimate the number of people per household and the proportion of the population in each age-sex group for

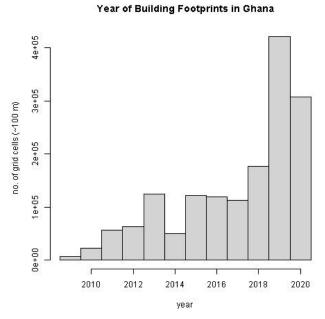


Figure 1. Year of satellite imagery used to create building footprints.

urban and rural settlements in various regions. This model assumes that the patterns of people per household and age-sex structure have not changed in these areas since 2010, and that any changes in geographic distribution of the population can be explained by changes in the number of buildings and housing units per building (see Appendix B for more information).

We cannot currently identify building footprints that represent non-residential structures in Ghana although we may address this in the future following the methods of Lloyd et al (2020). This results in the current model predicting populations for buildings that are not residential. This can strongly impact pixel-level predictions in areas that contain mostly non-residential buildings. However, population estimates for larger areas should be more robust to this problem because our estimates of the average number of housing units per building footprint includes these non-residential building footprints and should therefore account for the proportion of non-residential buildings across larger areas fairly accurately.

This method cannot map variation in people per household or households per building with precision at the 100 m spatial scale. It estimates these parameters for urban and rural settlements in over 100 geographic units across the country (corresponding to the spatial units from the IPUMS data and the projected census totals used as input data), but it does not attempt to map

variation within those units. It accounts for that variation as statistical uncertainty which results in the population estimates having fairly wide credible intervals.

SOURCE DATA

Building footprints. We used rasterized counts of buildings within approximately 100 m grid cells across Ghana (Dooley et al 2021). These were derived from building footprints for sub-saharan Africa that were created from recent high-resolution satellite imagery (Ecopia.Al and Maxar Technologies 2020).

Population counts. We used microdata samples from the 2010 Ghana census that were publicly available from IPUMS International (Minnesota Population Center 2020), whose original source was the Ghana Statistical Services. These data provide a count of the number of people and their age and sex from thousands of housing units with representative national coverage. Each household record is geo-tagged to geographic areas nested within larger regions. These level 1 and level 2 geographic boundaries were available for download from IPUMS International. These publicly available household-level census microdata do not contain GPS coordinates for privacy reasons.

Projected census totals. We used population totals for level 3 administrative units (WorldPop et al. 2018a) that were projected to the year 2019 (WorldPop et al. 2018b) from the 2010 Ghana census.

National population total. We used the estimated national population total for Ghana in 2019 from the UN World Population Prospects (UN 2019).

Settlement types. We used the urban and rural classifications of enumeration areas from the Ghana Statistical Service (Ghana Statistical Services 2010). We rasterized this map (i.e. ~100 m pixels) and then reclassified any rural pixels as urban if they were classified as urban by Dooley et al (2021; see file GHA_buildings_v2_0_urban.tif).

METHODS OVERVIEW

Note: A full report with a detailed description of methodology is available from Leasure and Tatem (2020). The source code, data, and some diagnostics for the model are included in the file GHA_population_v2_0_supplement.zip.

The method is a hybrid between commonly used top-down and bottom-up approaches (Wardrop et al 2018) that are often used to map populations when complete census results are not available. It is like bottom-up approaches because it uses household-level survey data that do not have full coverage of the country. It is like top-down approaches because it uses projected census totals to constrain population estimates. Unlike other top-down approaches (Stevens et al 2018), this model enforces a "soft constraint" in which the population totals can deviate significantly from the

census projections provided as input data if the weight of evidence from the rest of the model suggests the projections are inaccurate. This approach is unlike top-down and bottom-up approaches because it cannot use high-resolution geospatial covariates other than the count of buildings in each 100 m pixel.

We developed a hierarchical Bayesian model to estimate the following parameters:

- 1. People per household for urban and rural areas from 102 geographic areas (i.e. level 2 IPUMS boundaries),
- 2. Households per building footprint for urban and rural areas from 170 geographic areas (i.e. level 3 admin units of the projected census totals),
- 3. Proportion of the population in each of 36 age-sex groups for urban and rural areas from 10 regions (i.e. level 1 IPUMS boundaries), and
- 4. Statistical uncertainty for all parameter estimates.

The statistical model used the following components:

- 1. A <u>Poisson Hurdle model</u> to represent one-inflated distributions of people per household with log-normal overdispersion,
- 2. A <u>Beta-Binomial model</u> to represent probabilities of single-person households,
- 3. A <u>Dirichlet-Multinomial</u> model to represent age-sex structure,
- 4. Hierarchical random effects to share information among settlement types and geographic areas for some parameters (Leasure et al 2020b),
- 5. A log-normal <u>measurement error model</u> to account for uncertainty in the projected census totals used as input data.

The model was fit using RStan software (Stan Development Team 2020) and the R statistical programming language (R Core Team 2020). Model predictions were computed using the Iridis 5 High Performance Computing Cluster at the University of Southampton.

The fitted statistical model was used to predict the population sizes (and associated parameters) in each 100 m grid cell based on the number of buildings, the settlement classification (urban/rural), and the spatial units to which that location belonged (i.e. level 1, 2, and 3 admin units). Level 1 and 2 units came from IPUMS geographic boundaries and level 3 units came from the projected census totals.

We assessed r-squared, bias, imprecision, inaccuracy, and coverage of credible intervals for parameter estimates using out-of-sample cross-validation. 70% of the IPUMS data were used to fit the model and 30% were held out for cross-validation. For estimating people per household, the model was unbiased (-1.0%; i.e. bias as a percentage of the predicted value) but also imprecise at the household level (77%). This was expected because of the lack of specific location information from the IPUMS data. Our 95% credible intervals contained 97% of the out-of-sample data indicating that the credible intervals accurately accounted for this uncertainty in the local-

area estimates. For larger areas (i.e. settlement types within IPUMS units and projected census units), the model estimated average household sizes accurately (r-squared = 0.87).

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ftp://ftp.worldpop.org/GIS/Population/Global 2000 2020/CensusTables/

APPENDIX A

This appendix includes examples of specific locations in which internal reviewers identified potential issues in the results. We provide WGS84 longitude and latitude coordinates to identify locations.

- Building footprints may miss some areas on the edges of cities that did not contain buildings in the satellite images used for building footprints, but buildings have since been built. Potential examples of this are seen in Accra (-0.292867, 5.515089), Sekondi (-1.70742, 4.92462), and New Takarodi (-1.74160, 4.90183).
- We currently do not have a way to identify building footprints that represent non-residential structures. One example is Mallam Market in Accra (-0.279879,5.570480).
- There are some areas missing buildings likely due to cloud cover in the satellite images used to create the building footprints. Potential examples of this are in Sekondi (-1.740932, 4.918750) and a town in the southwest of the country that is missing population estimates (-2.3708, 5.6142).
- Some pixels contain population estimates where no buildings are visible from Bing and Esri satellite imagery. This may be due to the building footprints erroneously mapping buildings where none occurred. Some examples can be seen along the Mouhoun river (-1.80578,8.62518), in Awudame cemetery (-0.225895,5.566638), and in mines (-2.359, 7.005) and (-2.3426, 7.0265).
- There are over 800 people in a pixel in the center of Kumasi (-1.601718, 6.705048), while neighboring pixels with larger structures have less than half as many people. This may not necessarily be erroneous, but we wanted to highlight the strong effect that differences in building count can have on model results. The building pattern in this pixel is very different than neighboring pixels: lots of small buildings compared to fewer large buildings. A similar example can be seen in the center of Accra (-0.224150 5.545761).

APPENDIX B

This appendix includes additional information about potential changes in average household sizes and demographic age-structure in the population and how this may have affected our modelled population estimates. The model assumed that these parameters did not change significantly since 2010 when the census data were collected. We investigated this assumption by assessing rates of change in household size and demographic structure between the 2000 and 2010 censuses (Minnesota Population Center 2020) to give a general impression of the changes we might expect from 2010 to 2020.

Average household sizes were declining in many parts of Ghana between 2000 and 2010 (Fig. B1), approaching four people per household in most regions by 2010. We would not expect this reduction in household sizes to continue significantly below four people per household because this is approaching the lowest average household sizes across African countries (Batana and Cockburn 2018).

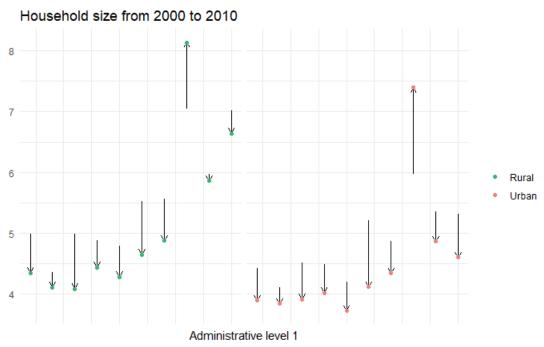
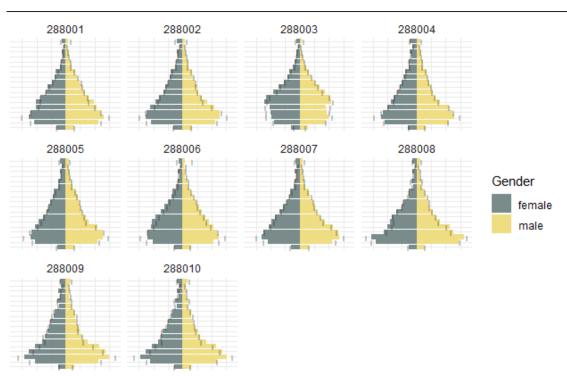


Figure B 1. The change in the average numbers of people per household from 2000 to 2010 in urban and rural areas of each region (administrative level 1). The IPUMS identification numbers for these ten regions are 288001-288010 (from left to right) (Minnesota Population Center 2020).

If the household sizes continued to decline at the same rate from 2010 to 2020 rather than stabilizing around four people per household, our model would overestimate average household sizes and underestimate the average number of housing units per building. The resulting population estimates should not be significantly impacted because these biases offset each other due to the constraints that the model applied on total population per administrative unit and total population nationally. In other words, if numbers of people per household were overestimated then our estimates of average housing units per building would likely be underestimated to compensate.

There were a few regions where average household sizes were significantly greater than four people per household (Fig. B1). These are the regions where we would expect the largest potential for changes in household sizes between 2010 and 2020. The region with the largest household sizes, and the only region with increasing household sizes from 2000 to 2010, was the Northern region of Ghana that contains the city Tamale. The other two regions with average household sizes greater than five people per household were rural portions of the Upper East and Upper West regions that contain the cities of Bolgatanga and Wa, respectively.

There was a shift in demographic structure between 2000 and 2010 in Ghana resulting in fewer children less than ten years old (Fig. B2). If this trend continued between 2010 and 2020, then our model would overestimate the numbers of children in these age groups and slightly underestimate adult populations. The model made no attempts to project these age-sex proportions forward to 2020 accounting for continued demographic transition. This only affects the age-sex population rasters (GHA_population_v2_0_agesex.zip), and does not affect the total population estimates (GHA_population_v2_0_gridded.zip).



Age-sex pyramids for each administrative level 1 (| in 2000)

Figure B 2. Population pyramids showing proportions of the population in each age-sex group for each region (administrative level 1). The pyramids represent the year 2010 and the small vertical lines represent the year 2000. The pyramids show 5 year age-classes except the bottom class is 0-1 years old, the second class is 1-4 years old, and the last class is over 85. The ID numbers are IPUMS Geographic Level 1 identifiers (Minnesota Population Center 2019).